



B #7/2

PATENTS
Attorney Docket CF/019

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicants : Philip M. Ginsberg et al.
Application No. : 09/853,430
Confirm. No. : 6761
Filed : May 10, 2001
For : SYSTEMS AND METHODS FOR ANONYMOUS
ELECTRONIC TRADING
Group Art Unit : 2164

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APR 26 2002

GROUP 3600

New York, New York
September 20, 2001

Hon. Commissioner
for Patents
Washington, D.C. 20231

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APR 24 2002

TRANSMITTAL LETTER

Technology Center 2100

Sir:

Transmitted herewith: ☐ a Preliminary Amendment;
☐ a Reply to Office Action; ☐ a Supplemental Amendment;
☐ a substitute Specification; ☐ a Declaration; ☐ a
Supplemental Declaration; ☐ a Power of Attorney; ☐ an
Associate Power of Attorney; ☒ formal drawings; to be filed
in the above-identified patent application; ☒ Submission of
Formal Drawings.

FEE FOR ADDITIONAL CLAIMS

☒ A fee for additional claims is not required.

☐ A fee for additional claims is required.

The additional fee has been calculated as shown below:

	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDITIONAL FEES
TOTAL CLAIMS	-	*	=	X \$ 18 =	\$0.00
INDEPENDENT CLAIMS	-	**	=	X \$ 80 =	\$0.00
FIRST PRESENTATION OF A MULTIPLE DEPENDENT CLAIM				+ \$270 =	\$0.00
*	If less than 20, insert 20.			TOTAL	<u>\$0.00</u>
**	If less than 3, insert 3.				

[] A check in the amount of \$_____ in payment of the filing fee is transmitted herewith.

[X] The Director is hereby authorized to charge payment of any additional filing fees required under 37 C.F.R. § 1.16, in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

[] Please charge \$_____ to Deposit Account No. 06-1075 in payment of the filing fee. A duplicate copy of this transmittal letter is transmitted herewith.

EXTENSION FEE

[] The following extension is applicable to the Response filed herewith; [] \$110.00 extension fee for response within first month pursuant to 37 C.F.R. § 1.136(a); [] \$390.00 extension fee for response within second month pursuant to 37 C.F.R. § 1.136(a); [] \$890.00 extension fee for response within third month pursuant to 37 C.F.R. § 1.136(a); [] \$1,390.00 extension fee for response within fourth month pursuant to 37 C.F.R. § 1.136(a); \$1,890.00 within fifth month pursuant to 37 C.F.R. § 1.136(a).

- ☐ A check in the amount of ☐ \$110.00; ☐ \$390.00;
☐ \$890.00; ☐ \$1,390.00; ☐ \$1,890.00 in payment of
the extension fee is transmitted herewith.
- ☒ The Director is hereby authorized to charge payment of
any additional fees required under 37 C.F.R. § 1.17 in
connection with the paper(s) transmitted herewith, or to
credit any overpayment of same, to Deposit Account No.
06-1075. A duplicate copy of this transmittal letter is
transmitted herewith.
- ☐ Please charge the ☐ \$110.00; ☐ \$390.00; ☐ \$890.00;
☐ \$1,390.00; ☐ \$1,890.00; extension fee to Deposit
Account No. 06-1075. A duplicate copy of this
transmittal letter is transmitted herewith.

Respectfully Submitted,

I Hereby Certify that this
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Deposited with the U.S.
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Class Mail in an Envelope
Addressed to:
COMMISSIONER FOR
PATENTS
WASHINGTON, D.C. 20231 on

September 20, 2001
Lillian Garcia

Lillian Garcia
Signature of Person Signing

Joel Weiss
Joel Weiss
Registration No. 44,398
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SUBMISSION OF FORMAL DRAWINGS Technology Center 2100

Sir:

Please substitute the enclosed formal drawings
(11 sheets; FIGS. 1-9) for the informal drawings filed on
May 10, 2001 with the above-identified patent application.

Respectfully submitted,

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WASHINGTON, D.C. 20231 on

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Lillian Garcia

Lillian Garcia
Signature of Person Signing

Joel Weiss
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